

**TRIBAL HOMELAND SECURITY GRANT PROGRAM (THSGP)
INVESTMENT JUSTIFICATION TEMPLATE INTRODUCTION**

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this data collection is estimated to average 300 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting this form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless a valid OMB control number is displayed on this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW., Washington, DC 20472-3100, Paperwork Reduction Project (1660-0113) NOTE: Do not send your completed form to this address.

PRIVACY ACT STATEMENT

AUTHORITY: The Homeland Security Act of 2002, as amended by Title I of the Implementing Recommendations of the 9/11 Commission Act of 2007, 6 U.S.C. §§ 605 and 606.

PRINCIPAL PURPOSE(S): This information is being collected for the primary purpose of facilitating correspondence between the grant applicant and the Department of Homeland Security and for determining eligibility and administration of FEMA Preparedness Grant Programs, specifically, the Tribal Homeland Security Grant Program.

ROUTINE USE(S): The information on this form may be disclosed as generally permitted under 5 U.S.C. § 552a(b) of the Privacy Act of 1974, as amended. This includes using this information as necessary and authorized by the routine uses published in DHS/FEMA – 004 Grants Management Information Files System of Records, 74 FR 39,705 (August 7, 2009), and upon written request, by agreement, or as required by law.

DISCLOSURE: The disclosure of information on this form is voluntary; however, failure to provide the information requested may delay or prevent the organization from receiving grant funding.

Security Warning:

When opening the IJ template, some users may see a note that says "Security Warning: some active content has been disabled." Click on the [Options ...] button next to the message, select "Enable this content," then click on the [OK] button. If this is not done, the text boxes may not function properly.

Eligible applicants must submit the THSGP Investment Justification as part of the overall application submission through the ND Grants system, located at <https://portal.fema.gov>, no later than **May 18, 2023, 5:00 p.m. Eastern Standard Time (EST)**.

THSGP applicants may include up to 3 Investments as part of their IJ submission. Applicants are also required to complete the THSGP Eligibility Certification.

When completing the IJ, it is recommended that tribes use the name of the tribe as listed in the Federal Register.

Self-Certification of Eligibility Criteria:

Applicants must self-certify as to whether they meet the eligibility requirements. Self-certification will be provided on the THSGP Eligibility Certification Form located in this Investment Justification. Any questions regarding an applicant's proximity to a Critical Infrastructure and Key Structures (CI/KR) site, as described the eligibility criteria, may be directed to the State Administrative Agency (SAA).

Helpful Hints:

Moving Through the Investment Justification:

While entering information in the IJ template, applicants should try to minimize the use of the Tab and Enter keys to move between data entry fields. As the template consists of a combination of text boxes and cells, using these keys may cause the cursor to move to an undesired location.

Copying & Pasting in the Investment Justification:

Some applicants may find it helpful to begin drafting their IJ information in MS Word and then pasting this into the template. However, please be aware that, when copying and pasting information from Word into the text boxes in the template, any formatting may not carry over.

Character Count:

The data entry fields included in the IJ allow for the maximum number of characters noted for that section, plus an additional number of characters to account for spaces. Once that limit has been reached, users will not be able to include any additional text.

Spell Check:

It is suggested that applicants use MS Word to ensure that the entered information is spelled correctly. To use Spell Check in MS Word:

In MS Word 2003

- a) Click on the [Tools] menu
- b) Select [Spelling] and all spelling issues will be displayed

In MS Word 2007

- a) Click on the [Review] tab near the top of the screen
- b) Click on [Spelling & Grammar] and all spelling issues will be displayed

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

**TRIBAL HOMELAND SECURITY GRANT PROGRAM (THSGP)
ELIGIBILITY CERTIFICATION**

TRIBAL OR CONSORTIUM POINT OF CONTACT INFORMATION

Please provide all contact information in the spaces provided:

Name:			Title:	
Office:				
Position:		Tribe:		
Address:		City	State	Zip Code:
Phone:	Email:			

TRIBAL ELIGIBILITY CERTIFICATION

Per the 9/11 Act, directly eligible Tribes must meet the requirements below in paragraphs (A)(i), (ii), and (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (I), (II), (III), or (IV). (B) may also be satisfied, if each Tribe meets the requirements of subparagraph (A). Please mark all that apply.

I certify that I am an eligible Tribe or consortium of Tribes. I have read and will abide by the requirements of the THSGP Guidance and Application Kit.

- ☐ A) Any Indian Tribe
- ☐ (i) that is located in the continental United States;
 - ☐ (ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services; (By checking this box, you are also certifying that the Tribal POC listed above can be reached, as necessary, to confirm that the Tribe meets this eligibility criteria.)
 - ☐ (iii) [please mark all that apply; at least one requirement must be marked]
 - ☐ (I) that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters;
 - ☐ (II) that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the Implementing Recommendations of the 9/11 Commission Act of 2007] or has such a system or asset within its territory;
 - ☐ (III) that is located within or contiguous to 1 of the 50 most populous metropolitan statistical areas in the United States;
 - ☐ (IV) the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and
 - ☐ (iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; (By checking this box, you are also certifying that the Tribal POC listed above can be reached, as necessary, to confirm that the Tribe meets this eligibility criteria.)
- ☐ B) A consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A)

TRIBAL CONSORTIUM INFORMATION	
If box B is marked above, please list each tribe participating in the consortium:	
Tribe:	
Tribe:	
Tribe:	
Tribe:	
Tribe:	
Tribe:	
Tribe:	
Tribe:	
BONUS: FUNDING HISTORY	
Tribal applicants that have not received THSGP funding in the past will receive five bonus points added to each Investment score at the time of application submission to FEMA (for a possible total bonus of 15 points across 3 investments). If the Tribe has received THSGP funding in the past, provide the fiscal year(s) funding was received.	
THSGP Funding in the Past: <input type="checkbox"/> Yes <input type="checkbox"/> No	Fiscal Year(s) THSGP Funding Received:
INSTRUCTIONS FOR FINALIZING THE IJ	
<p>At the end of Investment 1, there is an option to 'Add Additional Investment'. Do not add more than 2 additional investments. THSGP applicants may submit no more than 3 Investments with this application submission.</p> <p>Please provide a copy of this Investment Justification through the ND Grants system, located at https://portal.fema.gov, by May 18, 2023, 5:00 p.m. EST.</p>	

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

**TRIBAL HOMELAND SECURITY GRANT PROGRAM (THSGP)
INVESTMENT JUSTIFICATION**

I. BACKGROUND

1

I.A. Tribe:

I.B. Investment Name:

I.C. Provide a baseline understanding of the spectrum of terrorism and natural hazard risks and demographics that the Tribe faces and influenced the development of this Investment Justification (IJ). *(2,500 character max)*

II. OVERVIEW (25%)

II.A. Investment Phase:

If ongoing, describe the Investment's current state and what will be accomplished prior to the application of requested funds. (1,500 character max)

Please note, if this Investment is marked "New," please move to the next question.

II.B. Investment Focus:

II.C. Provide a description of this Investment, including the planning, organization, equipment, training, and/or exercises that will be involved. (1,500 character max)

III. BASELINE (25%)

III.A. Identify the goals and objectives that will be supported by this Investment. (1,500 character max)

Please note, this should be linked to the all-hazards risks identified in the answer to question I.C. in the Background section.

III.B. Describe existing capability levels that address the identified goals/objectives and what will be in place to support the Investment prior to the use of requested funds. (1,500 character max)

III.C. Explain the capability gap(s) that this Investment is intended to address. (1,500 character max)

Please note, this should be linked to the terrorism and natural hazards risks identified in I.C.

IV. PROJECT MANAGEMENT AND MILESTONES (25%)

IV.A - IV.B. Provide the proposed THSGP funding amount for this Investment, as well as the amount of funding that will be dedicated to Management & Administration (M&A) expenditures. The percentage dedicated to M&A will automatically calculate.

Please note, M&A cannot exceed five percent (5%) of the total award amount.

Total Proposed Funding Amount	Amount of Funding Dedicated to M&A	Percent of Funding Dedicated to M&A

IV.C. Identify the National Preparedness Goal (NPG) Core Capabilities that are supported by this Investment. For each of the selected Core Capabilities, provide the proposed funding amount to be obligated from this Investment.

Please note, the Total Amount of Proposed Funding in the table below must equal the Total Proposed Funding Amount entered in IV.A-IV.B above. Percent of Proposed Funding will calculate automatically and should equal 100% once completed.

Proposed Funding by NPG Core Capability	Amount of Proposed Funding (\$)	Percent of Proposed Funding (%)
Planning		
Public Information and Warning		
Operational Coordination		
Forensics and Attribution		
Intelligence and Information Sharing		
Interdiction and Disruption		
Screening, Search, and Detection		
Access Control and Identity Verification		
Cybersecurity		
Physical Protective Measures		
Risk Management for Protection Programs and Activities		
Supply Chain Integrity and Security		
Community Resilience		
Long-Term Vulnerability Reduction		
Risk and Disaster Resilience Assessment		
Threats and Hazard Identification		
Critical Transportation		
Environmental Response/Health and Safety		
Fatality Management Services		
Infrastructure Systems		
Mass Care Services		
Mass Search and Rescue Operations		
On-Scene Security and Protection		
Operational Communications		
Public and Private Services and Resources		
Public Health and Medical Services		
Situational Assessment		
Economic Recovery		
Health and Social Services		
Housing		
Natural and Cultural Resources		
Total Proposed Funding		
Difference From Total Proposed Funding		

IV.D. Provide the proposed funding amount to be obligated from this Investment towards the primary Planning, Organization, Equipment, Training, and Exercises (POETE) Solution Area.

Please note, the Total Amount of Proposed Funding should equal the Total Proposed Funding Amount entered in IV.C above.

Proposed Funding By Solution Area	Amount of Proposed Funding (\$)	Percent of Proposed Funding (%)
Planning		
Organization		
Equipment		
Training		
Exercises		
Total Proposed Funding		
Difference From Total Proposed Funding		

IV.E. Provide a detailed budget narrative, in addition to SF-424A, explaining related costs and expenses as a part of the application (application cost categories include Personnel, Fringe Benefits, Travel, Supplies, Contractual, Other, Construction, and Indirect Costs and Management and Administration). This budget narrative should be detailed and should serve to: (1) explain how the costs were estimated, and (2) justify the needs for the costs incurred to the measurable achievement outcomes as stated in the Investment Justification. (1,500 character max)

IV.F. Identify up to ten projects/activities, with start and end dates, which will be implemented over the 36-month period of performance.

Project Name (100 character max)	Funding Amount	Project Management	Dates (Month/Year)	
			Start	End

IV.G. Does this Investment require new construction or renovation, retrofitting, or modification of existing structures? ☐ Yes ☐ No

Please note that these costs are allowable only when it is a necessary component of a security system at critical infrastructure facilities.

V. LAW ENFORCEMENT TERRORISM PREVENTION ACTIVITIES (LETPA)

V.A. If applicable, provide the proposed funding amount that is expected to be obligated towards Law Enforcement Terrorism Prevention Activities (LETPA). Activities that support this requirement should be clearly defined and explained in the answer to question II.C.

Total Proposed Funding Amount	Amount of Funding Dedicated to LETPA	Percent of Funding Dedicated to LETPA

VI. ACCOMPLISHMENTS AND IMPACT (25%)

VI.A. Describe the outcomes that will be achieved as a result of this Investment. The outcomes should demonstrate improvements towards building capabilities described in Section III, Baseline. (1,500 character max)

VI.B. Identify the Mission Areas from the National Preparedness Goal that are supported by this Investment. At least one mission area should be identified.

☐ Prevention ☐ Protection ☐ Mitigation ☐ Response ☐ Recovery

INSTRUCTIONS FOR FINALIZING THE IJ

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